

Normal basis

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Finite fields

- Let \mathbb{F}_q be a finite field of the characteristic p -prime, $q = p^n$.
- \mathbb{F}_q contains \mathbb{Z}_p as a subfield. \mathbb{F}_q is an extension field of \mathbb{Z}_p .
- n is the degree of the extension \mathbb{F}_q when it is considered as a vector space over its subfield \mathbb{Z}_p .
- If K is a subfield of \mathbb{F}_q then the order of K is p^m where m is a positive divisor of n . There exists exactly one such subfield.

Finite fields

- Set $\mathbb{F}_q^* = \mathbb{F}_q \setminus \{0\}$ is a cyclic group with respect to the multiplication.
- Generator of this cyclic group, ψ , is called a primitive element of \mathbb{F}_q .
- If ψ is the primitive element, then ψ^k is also the primitive element whenever $\text{g.c.d}(k, q - 1) = 1$
- and therefore \mathbb{F}_q contains $\phi(q - 1)$ primitive elements where ϕ is Euler's function.

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Finite fields

- Generally to form an extension \mathbb{F}_{q^m} of the finite field \mathbb{F}_q we use an irreducible polynomial $f(x)$ of the degree m over \mathbb{F}_q
- for a zero ζ of $f(x)$ we define a field

$$\mathbb{F}_{q^m} = \{a_0 + a_1\zeta + a_2\zeta^2 + \dots + a_{n-1}\zeta^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in \mathbb{F}_q\}.$$

- Field \mathbb{F}_{q^m} we usually denote by $\mathbb{F}_q(\zeta)$ and we call ζ a defining element of \mathbb{F}_{q^m} .
- $\mathbb{F}_q(\zeta)$ is the least extension of \mathbb{F}_q that contains the element ζ
- Operations of additions is performed in usual way while operation of multiplication is done modulo $f(\zeta) = 0$.

Finite fields

- every primitive element of \mathbb{F}_q can serve as a defining element of \mathbb{F}_{q^r} over \mathbb{F}_q .
- for any finite field \mathbb{F}_q and every positive integer n there exists an irreducible polynomial of the degree n .
- If $f(x)$ is irreducible polynomial in $\mathbb{F}_q[x]$ of degree m , then it has a root in \mathbb{F}_{q^m} . Furthermore, all the roots of f are simple and are given by the m distinct elements $\alpha, \alpha^q, \dots, \alpha^{q^{m-1}}$ of \mathbb{F}_{q^m} .

Finite fields

- Therefore, splitting field of f over \mathbb{F}_q is given by \mathbb{F}_{q^m} .
 - If we have two irreducible polynomials of the same degree then they have isomorphic splitting fields.
 - Isomorphism can be obtained by sending a root of one polynomial to some root of the other polynomial.
- **Definition**
Let \mathbb{F}_{q^m} be an extension of \mathbb{F}_q and let $\alpha \in \mathbb{F}_{q^m}$. Then the elements $\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{m-1}}$ are called conjugates of α with respect to \mathbb{F}_q .

Conjugates

- The conjugates of $\alpha \in \mathbb{F}_{q^m}$ with respect to \mathbb{F}_q are distinct if and only if the minimal polynomial of α over \mathbb{F}_q has degree m .
- Otherwise, the degree d of this minimal polynomial is a proper divisor of m , and then the conjugates of α with respect to \mathbb{F}_q are distinct elements $\alpha, \alpha^q, \dots, \alpha^{q^{d-1}}$, each repeated $\frac{m}{d}$ times.
- Since every power of q is relatively prime to the $q^m - 1$ all conjugates of the element α have the same order in multiplicative group \mathbb{F}_q^* .

Conjugates

- Let \mathbb{F}_{q^m} be an extension of \mathbb{F}_q . By an automorphism σ over \mathbb{F}_{q^m} over \mathbb{F}_q we mean an automorphism of \mathbb{F}_{q^m} that fixes the elements of \mathbb{F}_q .
- Thus σ is one-to one mapping of \mathbb{F}_{q^m} to itself such that

$$\sigma(x + y) = \sigma(x) + \sigma(y)$$

$$\sigma(xy) = \sigma(x)\sigma(y)$$

for all $x, y \in \mathbb{F}_{q^m}$.

- **Theorem**

The distinct automorphisms of \mathbb{F}_{q^m} over \mathbb{F}_q are exactly mappings $\sigma_0, \sigma_1, \sigma_{m-1}$ defined by $\sigma_j(x) = x^{q^j}$ for all $x \in \mathbb{F}_{q^m}$ and $0 \leq j \leq m-1$.

- Now all conjugates of $\alpha \in \mathbb{F}_{q^m}$ with respect to \mathbb{F}_q are obtained by applying all automorphisms of \mathbb{F}_{q^m} over \mathbb{F}_q to the element α .

Normal basis

- The automorphisms of \mathbb{F}_{q^m} over \mathbb{F}_q form a cyclic group with the operation being the usual compositions of mappings. This is cyclic group of order m generated by σ_1 .

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- **Definition**

Lek $K = \mathbb{F}_q$ and $F = \mathbb{F}_{q^m}$. Then a basis of F over K of the form $\{\alpha, \alpha^q, \dots, \alpha^{q^{m-1}}\}$, consisting of a suitable element $\alpha \in F$ and its conjugates with respect to K , is called a normal basis of F over K .

Normal basis

- Let $\alpha \in \mathbb{F}_8$ be a root of the irreducible polynomial $x^3 + x^2 + 1 \in \mathbb{F}_2[x]$.
- Then $\alpha, \alpha^2, 1 + \alpha + \alpha^2$ is a basis of \mathbb{F}_8 over \mathbb{F}_2 .
- On the other hand $\alpha^4 = \alpha \cdot \alpha^3 = \alpha \cdot (\alpha^2 + 1) = \alpha^2 + \alpha + 1$.
- Therefore this is a normal basis.

Normal basis

- Theorem (Normal basis theorem)

For any finite field K and any extension F of K , there exists a normal basis of F over K .

- With a normal basis we have associated trace and a norm functions:

Definition

For $\alpha \in F$, the trace $Tr_{F/K}(\alpha)$ of α over K is defined by

$$Tr_{F/K}(\alpha) = \alpha + \alpha^q + \cdots + \alpha^{q^{m-1}}.$$

Trace

- Therefore, Trace of α is the sum of α and its conjugates.
- Let $f(x) \in K[x]$ be a minimal polynomial of $\alpha \in F$ with the degree $d \mid m$. Polynomial $g(x) = f(x)^{m/d} \in K[x]$ is called the characteristic polynomial of α over K .

Trace

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- Therefore coefficient with x^{m-1} in $g(x)$ equals to the $-Tr_{F/K}(\alpha)$.

Discriminant

- Definition

Discriminant $\Delta_{F/K}(\alpha_1, \alpha_2, \dots, \alpha_m)$ of the elements $\alpha_1, \dots, \alpha_m \in F$ is defined by the determinant of order m given by

$$\Delta_{F/K}(\alpha_1, \alpha_2, \dots, \alpha_m) = \begin{bmatrix} \text{Tr}_{F/K}(\alpha_1\alpha_1) & \text{Tr}_{F/K}(\alpha_1\alpha_2) & \dots & \text{Tr}_{F/K}(\alpha_1\alpha_m) \\ \text{Tr}_{F/K}(\alpha_2\alpha_1) & \text{Tr}_{F/K}(\alpha_2\alpha_2) & \dots & \text{Tr}_{F/K}(\alpha_2\alpha_m) \\ \vdots & \vdots & \ddots & \vdots \\ \text{Tr}_{F/K}(\alpha_m\alpha_1) & \text{Tr}_{F/K}(\alpha_m\alpha_2) & \dots & \text{Tr}_{F/K}(\alpha_m\alpha_m) \end{bmatrix}.$$

Discriminant is always an element of K .

Discriminant

- Theorem

Let $K < F$ and $\alpha_1, \dots, \alpha_m \in F$. Then $\{\alpha_1, \dots, \alpha_m\}$ is a basis of F over K if and only if $\Delta_{F/K}(\alpha_1, \alpha_2, \dots, \alpha_m) \neq 0$.

- Corollary

Let $\alpha_1, \dots, \alpha_m \in F$. Then $\{\alpha_1, \dots, \alpha_m\}$ is a basis of F over K if and only if

$$\begin{bmatrix} \alpha_1 & \alpha_2 & \dots & \alpha_m \\ \alpha_1^q & \alpha_2^q & \dots & \alpha_m^q \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{q^{m-1}} & \alpha_2^{q^{m-1}} & \dots & \alpha_m^{q^{m-1}} \end{bmatrix} \neq 0.$$

Corollaries

- Theorem (Hensel)

For $\alpha \in \mathbb{F}_{q^m}$, $\{\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{m-1}}\}$ is a normal basis of \mathbb{F}_{q^m} over \mathbb{F}_q if and only if the polynomials $x^m - 1$ and $\alpha x^{m-1} + \alpha^q x^{m-2} + \dots + \alpha^{q^{m-2}} x + \alpha^{q^{m-1}}$ in $\mathbb{F}_{q^m}[x]$ are relatively prime.

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- Theorem

Let $\alpha \in \mathbb{F}_{q^m}$, $\alpha_i = \alpha^{q^i}$, and $t_i = \text{Tr}_{\mathbb{F}/\mathbb{K}}(\alpha_0 \alpha_i)$, $0 \leq i \leq n-1$. Then α generates a normal basis of \mathbb{F}_{q^m} over \mathbb{F}_q if and only if the polynomial $N(x) = \sum_{i=0}^{n-1} t_i x^i \in \mathbb{F}_q[x]$ is relatively prime to $x^m - 1$.

Characterization of normal basis

- Theorem (Perlis)

Let $N = \{\alpha_0, \dots, \alpha_{n-1}\}$ be a normal basis of \mathbb{F}_{q^n} over \mathbb{F}_q . Then an element $\gamma = \sum_{i=0}^{n-1} a_i \alpha_i$, where $a_i \in \mathbb{F}_q$ is a normal element if and only if the polynomial $\gamma(x) = \sum_{i=0}^{n-1} a_i x^i \in \mathbb{F}_q[x]$ is relatively prime to $x^n - 1$.

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- Definition

For $\alpha \in F = \mathbb{F}_{q^m}$ and $K = \mathbb{F}_q$, the norm $N_{F/K}(\alpha)$ of α over K is defined by

$$N_{F/K}(\alpha) = \alpha \cdot \alpha^q \cdots \alpha^{q^{m-1}} = \alpha^{(q^m-1)/(q-1)}.$$

Dual basis

- Definition

Let $A = \{\alpha_1, \dots, \alpha_n\}$ and $B = \{\beta_1, \dots, \beta_n\}$ be bases of F over K . Then B is dual basis of A if $\text{Tr}_{F/K}(\alpha_i \beta_j) = \delta_i^j$, $1 \leq i, j \leq n$.

- Dual basis is unique.

- Theorem

The dual basis of a normal basis is normal basis.

- Theorem

Let $N = \{\alpha_0, \alpha_1, \alpha_{n-1}\}$ be a normal basis of \mathbb{F}_{q^n} over \mathbb{F}_q . Let $t_i = \text{Tr}_{F/K}(\alpha_0 \alpha_i)$, and $N(x) = \sum_{i=0}^{n-1} t_i x^i$. Furthermore, let $D(x) = \sum_{i=0}^{n-1} d_i x^i$, $d_i \in \mathbb{F}_q$, be the unique polynomial such that $N(x)D(x) = 1 \pmod{x^n - 1}$. Then the dual basis of N is generated by $\beta = \sum_{i=0}^{n-1} d_i \alpha_i$.

Composition of normal basis

Theorem (Perlis)

Let t and v be an positive integers. If α is a normal element of $\mathbb{F}_{q^{vt}}$ over \mathbb{F}_q then $\gamma = TR_{q^{vt}|q^t}(\alpha)$ is a normal element of \mathbb{F}_{q^t} over \mathbb{F}_q . Moreover, if α is self-dual normal then so is γ .

Theorem (Pincin, Semaev)

Let $n = vt$ with v and t relatively prime. Then, for $\alpha \in \mathbb{F}_{q^v}$ and $\beta \in \mathbb{F}_{q^t}$, $\gamma = \alpha\beta$ is a normal element of \mathbb{F}_{q^n} over \mathbb{F}_q if and only if α and β are normal elements of \mathbb{F}_{q^v} and \mathbb{F}_{q^t} , respectively, over \mathbb{F}_q . If α and β generates self-dual normal basis the γ generates a self-dual normal basis too.

Composition of normal basis

Let $m = n_1 p^e$ with $\gcd(p, n_1) = 1$, $t = p^e$. Suppose factorization in K

$$x^m - 1 = (f_1(x)f_2(x)\dots f_r(x))^t$$

Denote by

$$\phi_i(x) = (x^m - 1)/f_i(x).$$

Theorem (Schwarz)

An element $\alpha \in F$ is a normal element if and only if

$$\Phi_i(\sigma)(\alpha) \neq 0, \quad i = 1, 2, \dots, r.$$

Corollary (Perlis)

Let $m = p^e$. Then $\alpha \in \mathbb{F}_{q^m}$ is a normal over \mathbb{F}_q if and only if

$$\text{Tr}_{F/K}(\alpha) \neq 0$$

Number of normal basis

For a polynomial $f \in \mathbb{F}_q[x]$ define $\Phi_q(f)$ as a number of polynomials that are of smaller degree than $f(x)$ and relatively prime to the $f(x)$.

Lemma

The function $\Phi_q(f)$ defined for polynomials in $\mathbb{F}_q[x]$ has the following properties:

- (i) $\Phi_q(f) = 1$ iff $\deg(f) = 0$;
- (ii) $\Phi_q(fg) = \Phi_q(f)\Phi_q(g)$ whenever f and g are relatively prime;
- (iii) if $\deg(f) = n \geq 1$ then

$$\Phi_q(f) = q^n(1 - q^{-n_1})(1 - q^{-n_2}) \dots (1 - q^{-n_r})$$

where are n_1, n_2, \dots, n_r the degrees of the distinct irreducible monic polynomials that appears in the canonical factorization of $f(x)$ in $\mathbb{F}_q[x]$.

Number of normal basis

- Theorem

In \mathbb{F}_{q^m} there are precisely $\Phi_q(x^m - 1)$ elements ζ such that $\{\zeta, \zeta^q, \dots, \zeta^{q^{m-1}}\}$ forms a basis of \mathbb{F}_{q^m} over \mathbb{F}_q .

- Since the elements $\zeta, \zeta^q, \dots, \zeta^{q^{m-1}}$ generates the same normal basis of \mathbb{F}_{q^m} over \mathbb{F}_q there are precisely $\frac{\Phi_q(x^m-1)}{m}$ normal basis of \mathbb{F}_{q^m} over \mathbb{F}_q .

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- If $n = n_1 p^e$ then this number is

$$q^{n-n_1} \prod_{d|n_1} (q^{t(d)} - 1)^{\Phi(d)/t(d)}$$

where $t(d)$ is order of q modulo d and $\Phi(d)$ is Euler totient function.

N-polynomials

- Normal N-polynomial is irreducible polynomial whose zeros are normal elements.
- Determining normal elements is equivalent to the determining N-polynomials.
- Theorem (Schwarz)

Let $f(x)$ be an irreducible polynomial of degree n over \mathbb{F}_q and α a root of it. Let $x^m - 1$ factor as before. Then $f(x)$ is an N-polynomial if and only if $L_{\Phi_i}(\alpha) \neq 0$ for each $i = 1, 2, \dots, r$, where $L_{\Phi_i}(x)$ is linearized polynomial, defined by $L_{\Phi_i}(x) = \sum_{i=0}^m t_i x^{q^i}$ if $\Phi_i(x) = \sum_{i=0}^m t_i x^i$.

N-polynomials

- Corollary (Perlis)

Let $m = p^e$ and $f(x) = x^m + a_1x^{m-1} + \dots + a_m$ be an irreducible polynomial over \mathbb{F}_q . Then $f(x)$ is an N-polynomial if and only if $a_1 \neq 0$.

- Irreducible quadratic polynomial $x^2 + a_1x + a_2$ is N-polynomial iff $a_1 \neq 0$.

Normal basis

- Corollary

Let r be a prime different from p and q is a primitive element modulo r . Then irreducible polynomial

$f(x) = x^r + a_1x^{r-1} + \dots + a_r$ is an N -polynomial over \mathbb{F}_q iff $a_1 \neq 0$.

- Corollary

Let $m = p^e r$ where r is a prime different from p and q is primitive element modulo r . Let $f(x) = x^m + a_1x^{m-1} + \dots + a_m$ be an irreducible polynomial over \mathbb{F}_q and α a root of $f(x)$. Let

$u = \sum_{i=0}^{p^e-1} \alpha^{q^i r}$. Then $f(x)$ is an N -polynomial if and only if $a_1 \neq 0$ and $u \notin \mathbb{F}_q$.

Normal basis

- Randomised algorithms
- Theorem (Artin)

Let $f(x)$ be an irreducible polynomial of degree m over \mathbb{F}_q and α a root of $f(x)$. Let

$$g(x) = \frac{f(x)}{(x - \alpha)f'(\alpha)}.$$

Then there are at least $q - m(m - 1)$ elements u in \mathbb{F}_q such that $g(u)$ is a normal element of \mathbb{F}_{q^m} over \mathbb{F}_q .

- If $q > 2m(m - 1)$, an arbitrary element in \mathbb{F}_{q^m} is normal with probability $\geq 1/2$. Generally, this probability is at least $(1 - q^{-1})/(e(1 + \log_q(m)))$.

Deterministic algorithms

- If $\sigma^k(\theta) = \sum_{i=0}^{k-1} c_i \sigma^k(\theta)$ then $Ord_\theta(x) = x^k - \sum_{i=0}^{k-1} c_i x^i$ can be computed in polynomial time in n and $\log q$.
- Luneburg's algorithm: For each $i = 0, 1, \dots, n-1$ compute $f_i = Ord_{\alpha^i}(x)$. Then $x^n - 1 = lcm(f_0, f_1, \dots, f_{n-1})$.
- Now apply factor refinement to the list of polynomials f_0, \dots, f_{n-1} to obtain relatively prime polynomials g_1, g_2, \dots, g_r and integers e_{ij} , $0 \leq i \leq n-1$, $1 \leq j \leq r$ such that

$$f_i = \prod_{j=1}^r g_j^{e_{ij}} / g_j^{e_{i(j)}}_j$$

and take $\beta_j = h_j(\sigma)(\alpha^{i(j)})$. Then $\beta = \sum_{j=1}^r \beta_j$ is normal in \mathbb{F}_{q^n} over \mathbb{F}_q .

- $O((n^2 + \log q)(n \log q)^2)$ bit operations.

Lenstra's algorithm

- 1. Take any element $\theta \in \mathbb{F}_{q^m}$, and determine $Ord_\theta(x)$.
- 2. If $Ord_\theta(x) = x^m - 1$ algorithm stops.
- 3. Calculate $g(x) = (x^m - 1)/Ord_\theta(x)$ and solve the system of linear equations $g(\sigma(\beta)) = \theta$ for β .
- 4. Determine $Ord_\beta(x)$. If $deg(Ord_\beta(x)) > deg(Ord_\theta(x))$ replace θ by β and go to the step 2. Otherwise find the nonzero element μ such that $g(\sigma)\mu = 0$, replace θ by $\theta + \mu$ and go to the step 1.

- same complexity

All normal elements

- $x^n - 1 = (f_1(x) \dots f_r(x))^t$ - canonical factorization
- not known for large p

- **Theorem**

Let W_i be a null space of f_i^t and \tilde{W}_i be a null space of $f_i^{t-1}(x)$.

Let \bar{W}_i be any subspace such that $W_i = \bar{W}_i + \tilde{W}_i$.

Then $\mathbb{F}_{q^n} = \sum_{i=1}^r \bar{W}_i + \tilde{W}_i$ is a direct sum where \bar{W}_i has dimension d_i and \tilde{W}_i has dimension $(t-1)d_i$.

Element $\alpha = \sum_{i=1}^r (\bar{\alpha}_i + \tilde{\alpha}_i) \in \mathbb{F}_{q^n}$ is a normal over \mathbb{F}_q if $\bar{\alpha}_i \neq 0$ for each $i = 1, 2, \dots, r$.

Optimal normal basis

- Addition is by components in any basis
- Multiplication is problem
- Assume elements

$$A = (a_0, a_1, \dots, a_{n-1}), B = (b_0, \dots, b_{n-1}) \in \mathbb{F}_q^n \text{ and} \\ C = AB = (c_0, \dots, c_{n-1}).$$

- Suppose

$$\alpha_i \alpha_j = \sum_{k=0}^{n-1} t_{ij}^{(k)} \alpha_k, \quad t_{ij}^{(k)} \in \mathbb{F}_q.$$

- Then $c_k = \sum_{i,j} a_i b_j t_{ij}^{(k)}$
- Matrix $T_k = (t_{ij}^{(k)})$ is called a multiplication table.

Optimal normal basis

- $A^q = (a_{n-1}, a_0, \dots, a_{n-2})$ -cyclic shift
- If $p = 2$ using repeated square and multiply method exponentiation is fast - important in cryptosystems
- In normal basis $t_{ij}^{(l)} = t_{i-l, j-l}^{(0)}$
- Let $\alpha^i = \sum_{j=0}^{n-1} t_{ij} \alpha^j$, $0 \leq i \leq n-1$, $t_{ij} \in \mathbb{F}_q$. Let $T = (t_{ij})$.
- Then $t_{ij}^{(k)} = t_{i-j, k-j}$.

Optimal normal basis

- Number of nonzero elements in T_k is same for each k .
- It is called the complexity of normal basis N denoted by c_N .
- **Theorem**
For any normal basis $c_N \geq 2n - 1$.
 - A normal basis is called optimal if $c_N = 2n - 1$.

Optimal normal basis

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- A normal basis is called optimal if $c_N = 2n - 1$.

- **Theorem**

Suppose $n + 1$ is a prime and q is primitive in \mathbb{Z}_{n+1} , where q is prime or prime power. Then the n nonunit $(n+1)$ th roots of unity are linearly independent and they form an optimal normal basis of \mathbb{F}_{q^n} over \mathbb{F}_q .

Optimal normal basis

- Theorem

Let $2n + 1$ be a prime and assume that either

- *(1) 2 is primitive in \mathbb{Z}_{2n+1} , or*
- *(2) $2n + 1 = 3 \pmod{4}$ and 2 generates the quadratic residues in \mathbb{Z}_{2n+1} .*

Then $\alpha = \gamma + \gamma^{-1}$ generates an optimal normal basis of \mathbb{F}_{2^n} over \mathbb{F}_2 , where γ is a primitive $(2n + 1)$ th root of unity.

- If $p = 2$ these two types of normal basis are the only optimal normal basis.
- The two basis N and aN are called equivalent if $aN = \{a\alpha : \alpha \in N\}$.
- All optimal normal basis are equivalent to the normal basis mentioned above.

Self-dual normal basis

- Finite field \mathbb{F}_{q^n} has self-dual normal basis if and only if both n and q are odd or q is even and n is not divisible by 4.
- **Theorem**
For any $\beta \in \mathbb{F}_q^$ with $\text{Tr}_{q/p}(\beta) = 1$, $x^p - x^{p-1} - \beta^{p-1}$ is irreducible over \mathbb{F}_q and its roots form a self-dual normal basis of \mathbb{F}_{q^p} over \mathbb{F}_q with complexity at most $3p - 2$.*

Self-dual normal basis

Theorem

Let n be an odd factor of $q - 1$ and $\psi \in \mathbb{F}_q$ of multiplicative order n . Then there exists $u \in \mathbb{F}_q$ such that $(u^2)^{(q-1)/n} = \psi$. Let $x_0 = (1 + u)/n$ and $x_1 = (1 + u)/(nu)$. Then the monic polynomial $\frac{1}{1-u^2}((x - x_0)^n - u^2(x - x_1)^n)$ is irreducible over \mathbb{F}_q and its roots form a self-dual normal basis of \mathbb{F}_{q^n} over \mathbb{F}_q .

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Thank you